

PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/669,263	
	Filing Date	25 September 2003	
	First Named Inventor	Wang	
	Art Unit	not yet assigned	
	Examiner Name	not yet assigned	
Total Number of Pages In This Submission	9	Attorney Docket Number	12859B-DIV

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input checked="" type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Frank Rosenberg
Signature	<i>Frank Rosenberg</i>
Date	23 December 2003

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Esmeralda Mestizo		
Signature	<i>Esmeralda Mestizo</i>	Date	23 December 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/17 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$130)

## Complete If Known

Application Number 10/669,263  
 Filing Date 25 Sept. 2003  
 First Named Inventor Wang  
 Examiner Name NYA  
 Art Unit NYA  
 Attorney Docket No. 12859 B-DIV

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

 Deposit Account Number  
 Deposit Account Name

501749

Frank Rosenberg

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims  -20\*\* =  X  =   
 Independent Claims  -3\*\* =  X  =   
 Multiple Dependent  =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 84	2201 42	Independent claims in excess of 3	
1203 280	2203 140	Multiple dependent claim, if not paid	
1204 84	2204 42	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130	Non-English specification	
1812 2,520	2812 2,520	For filing a request for ex parte reexamination	
1804 920*	2804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	2805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	2451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	2460 130	Petitions to the Commissioner	130
1807 50	2807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	2806 180	Submission of Information Disclosure Stmt	
8021 40	28021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	2802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$130)

## SUBMITTED BY

Name (Print/Type) Frank Rosenberg  
 Signature Frank Rosenberg

 Registration No.  
 (Attorney/Agent)

37,068

(Complete if applicable)

Telephone (925) 376-8416

Date Dec. 23, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	:	
	:	
Wang et al.	:	
	:	
Application No. 10/669,263	:	Art Unit: not yet assigned
	:	
Filed: Sep. 25, 2003	:	Examiner: not yet assigned
	:	
For: CATALYSTS REACTORS AND	:	Atty Docket: 12859B-DIV
METHODS OF PRODUCING	:	
HYDROGEN VIA THE WATER-	:	
GAS SHIFT REACTION	:	

**PETITION TO THE COMMISSIONER**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is a petition to (1) grant the filing date of Sep 25, 2003, and (2) enter the attached drawings (or, alternatively use the drawings from the parent application).

The \$130 fee for a petition according to 37 CFR §1.117(h) is attached. It is not believed that additional fees are required; however, if any additional fees are required, the applicants authorize payment of the appropriate fee to be charged to Deposit Account No. 50-1749.

**Statement Of Facts**

1. The original filing of this application (serial no. 10/669,263) did not include copies of drawings. This was an inadvertent omission.

2. This application (serial no. 10/669,263) is a divisional of parent application serial no. 09/788,330 (now U.S. Patent No. 6,652,830).

3. The Utility Patent Application Transmittal Form that was submitted with the filing of this divisional application included a statement that the incorporation of the parent application (serial no. 09/788,330) can be relied on "when a portion has been inadvertently omitted from the submitted application parts."

4. A Preliminary Amendment was co-filed with this divisional application. The Preliminary Amendment added a statement to the specification that the parent application (serial no. 09/788,330) is "incorporated herein by reference as if reproduced in full below."

5. The attached set of drawings (5 figures on 3 pages) is a true copy of the drawings from the parent application.

6. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

Conclusion

A copy of the drawings from the parent application was inadvertently omitted from the divisional application. The entire parent application was incorporated by reference in the divisional application. Therefore, (1) the filing date of Sep 25, 2003 should be granted to the divisional application (serial no. 10/669,263), and (2) the attached drawings (5 figures on 3 pages) should be entered in the divisional application (serial no. 10/669,263).

The Commissioner (or other appropriate authority) is encouraged to call Applicants' attorney at the number provided below to address any issues relevant to this application.

Respectfully submitted,

Date: 23 Dec. 2003

By: Frank Rosenberg

Frank Rosenberg  
18 Echo Hill Lane  
Moraga, CA 94556

Frank Rosenberg  
Registration No. 37,068  
Tel: (925) 376-8416  
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# FAX TRANSMISSION

Frank S. Rosenberg  
18 ECHO HILL LANE  
MORAGA, CA 94556  
925-376-8416  
FAX: 925-376-8429  
email: frankrosenberg@att.net

**To:** Delora Dillard

**Date:** February 10, 2004

**Fax #:** 703-305-9822

**Pages:** 10, including this cover sheet.

**From:** Frank Rosenberg

**Subject:** Copy of Petition

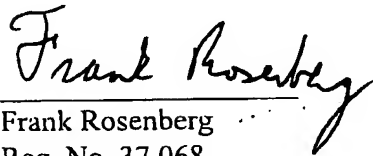
## COMMENTS:

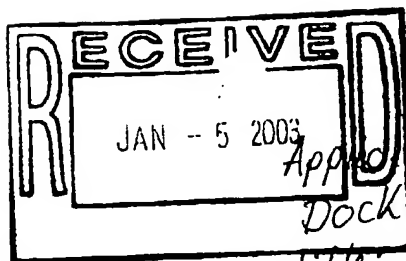
**Re: Serial No. 10/669,263**

Dear Ms. Dillard,

Attached is a Petition in response to the Notice of Incomplete Nonprovisional Application. A copy of the stamped postcard is also attached. The Petition was mailed on December 23, 2003. We have not received a response to the Petition.

Please let me know the status of the application and whether the petition has been granted.

  
Frank Rosenberg  
Reg. No. 37,068



App. No. 10/669,263  
Docket No. 12859 B-DIV  
Title: Catalysts Reactors...



- Petition to the Commissioner  
w/ attached Drawings. (3 sheets)  
Credit Card Payment Form  
transmittal + Fee transm. Forms.